



*Image*

*Receipt*

Docket No.: PZ012P1C2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ruben et al.

Application No.: 09/820,649

Confirmation No.: 6561

Filed: March 30, 2001

Art Unit: 1653

For: Protein HAUAQ39

Examiner: R. Mitra

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, recites an incorrect Serial No. for the Parent Application of the above-identified application. More specifically, the first line of the Domestic Priority data as claimed by Applicant should be corrected as follows, "THIS APPLICATION IS A CON OF 09/666,984 ~~09/666,987~~ 09/21/2000." Applicants point out that the corrected filing receipt will be consistent with the amended first paragraph of the above-identified application, a change acknowledged by the Examiner in Paper No. 1126, mailed December 31, 2003.

The requested change to the Domestic Priority data as claimed by Applicant is reflected in the table shown on page 2. Applicants request that all pertinent U.S. Patent and Trademark Office records relating to the above-identified application be changed to reflect this correction.

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	09/666,984	09/21/00
09/666,984	Continuation of	09/236,557	01/26/99
09/236,557	Continuation-in-part of	PCT/US98/15949	07/29/98
PCT/US98/15949	Non-Provisional of	60/054,209	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,211	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,212	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,213	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,214	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,215	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,217	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,218	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,234	07/30/97
PCT/US98/15949	Non-Provisional of	60/054,236	07/30/97
PCT/US98/15949	Non-Provisional of	60/055,968	08/18/97
PCT/US98/15949	Non-Provisional of	60/055,969	08/18/97
PCT/US98/15949	Non-Provisional of	60/055,972	08/18/97
PCT/US98/15949	Non-Provisional of	60/056,534	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,543	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,554	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,561	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,727	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,729	08/19/97
PCT/US98/15949	Non-Provisional of	60/056,730	08/19/97

If there are any fees due in connection with the filing of this paper, please charge the fees to our Deposit Account No. 08-3425. If a fee is required for an extension of time under 37 C.F.R. § 1.136, such an extension is requested and the fee should also be charged to our Deposit Account.

Dated: March 31, 2004

Respectfully submitted,

By

  
Janet M. Martineau

Registration No.: 46,903

HUMAN GENOME SCIENCES, INC.

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(301) 315-2723



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number	09/820,649-Conf. #6561
Filing Date	March 30, 2001
First Named Inventor	Steven M. Ruben
Examiner Name	R. Mitra
Art Unit	1653
Attorney Docket No.	PZ012P1C2

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

08-3425

Deposit  
Account  
Name

Human Genome Sciences, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	19	-24** =		0.00
Independent Claims	4	-4** =		0.00
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type) Janet M. Martineau

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Signature

Date

March 31, 2004



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/820,649	03/30/2001	1653	862	PZ012P1C2		24	4

CONFIRMATION NO. 6561

22195

HUMAN GENOME SCIENCES INC  
9410 KEY WEST AVENUE  
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RECEIVED

## FILING RECEIPT



\*OC000000006173885\*

(D) JUN 13 2001  
KKH SA  
HGS PATENT DEPT.

Date Mailed: 06/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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09/666,984

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF ~~09/000,987~~ 09/21/2000  
WHICH IS A CON OF 09/236,557 01/26/1999 ABN  
WHICH IS A CIP OF PCT/US98/15949 07/29/1998  
AND CLAIMS BENEFIT OF 60/054,212 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,209 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,234 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,218 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,214 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,236 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,215 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,211 07/30/1997

AND CLAIMS BENEFIT OF 60/054,217 07/30/1997  
AND CLAIMS BENEFIT OF 60/054,213 07/30/1997  
AND CLAIMS BENEFIT OF 60/055,968 08/18/1997  
AND CLAIMS BENEFIT OF 60/055,969 08/18/1997  
AND CLAIMS BENEFIT OF 60/055,972 08/18/1997  
AND CLAIMS BENEFIT OF 60/056,561 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,534 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,729 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,543 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,727 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,554 08/19/1997  
AND CLAIMS BENEFIT OF 60/056,730 08/19/1997

**Foreign Applications**

**If Required, Foreign Filing License Granted** 06/11/2001

**Projected Publication Date:** 09/20/2001

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

83 human secreted proteins

**Preliminary Class**

536

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**Data entry by :** TAN, LEA-YUET

**Team :** OIPE

**Date:** 06/12/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231